


Substitute for form 1449/PTO				Complete if Known	
FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Application Number	10/074,804
				Filing Date	February 12, 2002
				First Named Inventor	Denis Garcia
				Art Unit	7096
				Examiner Name	Longbit Chai
Sheet	1	of	12	Attorney Docket Number	2222.5390001

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (If Known)			
LC	US1	4,203,166	05-13-1980	Eshram et al.	
	US2	4,734,568	03-29-1988	Watanabe	
	US3	4,796,220	01-01-1989	Wolfe	
	US4	4,827,508	05-02-1989	Shear	
	US5	4,888,800	12-19-1989	Marshall et al.	
	US6	4,972,472	11-20-1990	Brown et al.	
	US7	5,032,979	07-16-1991	Hecht et al.	
	US8	5,144,660	09-01-1992	Rose	
	US9	5,204,897	04-20-1993	Wyman	
	US10	5,301,247	04-05-1994	Rasmussen et al.	
	US11	5,319,705	06-07-1994	Halter et al.	
	US12	5,404,404	04-01-1995	Novorita	
	US13	5,557,765	09-17-1996	Lipner et al.	
	US14	5,606,663	02-25-1997	Kadooka	
	US15	5,671,412	09-23-1997	Christiano	
	US16	5,677,953	10-14-1997	Dolphin	
	US17	5,720,033	02-17-1998	Deo	
	US18	5,748,736	05-05-1998	Mittra	
	US19	5,757,920	05-26-1998	Misra et al.	
LC	US20	5,765,152	06-09-1998	Ericson	

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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)			
LC	FP1	EP 0 950 941 A3	10-20-1999	Fujitsu Limited	
LC	FP2	EP 1 107504 A2	06-13-2001	Benayoun et al.	
LC	FP3	EP 0 672 991 A2	09-20-1995	Narasimhalu et al.	
	FP4				
	FP5				
	FP6				
	FP7				
	FP8				
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Examiner Signature		Date Considered	1/16/07
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				Art Unit	7096
				Examiner Name	Longbit Chai
				Attorney Docket Number	2222.5390001
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LC ↑	US21	5,778,065	07-07-1998	Hauser et al.	
	US22	5,787,173	07-28-1998	Seheidt et al.	
	US23	5,790,789	08-04-1998	Suarez	
	US24	5,835,592	11-10-1998	Chang et al.	
	US25	5,857,189	01-05-1999	Riddle	
	US26	5,870,468	02-09-1999	Harrison	
	US27	5,881,287	03-09-1999	Mast	
	US28	5,893,084	04-06-1999	Morgan et al.	
	US29	5,922,073	07-13-1999	Shimada	
	US30	5,944,794	08-31-1999	Okamoto et al.	
	US31	5,953,419	09-14-1999	Lohstroh et al.	
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	US33	5,968,177	10-19-1999	Batten-Carew et al.	
	US34	5,991,879	11-23-1999	Still	
	US35	6,023,506	02-08-2000	Ote et al.	
	US36	6,032,216	02-29-2000	Schmuck et al.	
	US37	6,038,322	03-14-2000	Harkins	
	US38	6,055,314	04-25-2000	Spies et al.	
	US39	6,058,424	05-02-2000	Dixon et al.	
	LC ↓	US40	6,061,790	05-09-2000	Bodnar

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	FP10					
	FP11					
	FP12					
	FP13					
	FP14					
	FP15					
	FP16					
	FP17					
	FP18					

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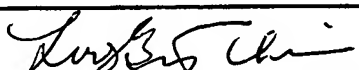
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LC	US61	6,453,353 B1	09-17-2002	Win et al.	
	US62	6,466,932 B1	10-15-2002	Dennis et al.	
	US63	6,477,544 B1	11-05-2002	Bolosky et al.	
	US64	6,510,349 B1	01-21-2003	Schnek et al.	
	US65	6,530,020 B1	03-04-2003	Aoki	
	US66	6,530,024 B1	03-04-2003	Proctor	
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	US68	6,567,914 B1	05-20-2003	Just et al.	
	US69	6,571,291 B1	05-27-2007	Chow	
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	US71	6,598,161 B1	07-22-2003	Kluttz et al.	
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	US78	6,646,515 B2	11-11-2003	Jun et al.	
	US79	6,647,388 B2	11-11-2003	Numao et al.	
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	FP28					
	FP29					
	FP30					
	FP31					
	FP32					
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	FP34					
	FP35					
	FP36					

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ZC	US101	6,941,355 B1	09-06-2005	Donaghey et al.	
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	US103	6,941,472 B2	09-06-2005	Moriconi et al.	
	US104	6,947,556 B1	09-20-2005	Matyas, Jr. et al.	
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	US109	6,959,308 B1	10-25-2005	Gramsamer et al.	
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	US111	6,978,376 B2	12-20-2005	Giroux et al.	
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	US113	6,996,718 B1	02-07-2006	Henry et al.	
	US114	7,003,117 B1	02-21-2006	Kacker et al.	
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	US116	7,013,332 B1	03-14-2007	Friedel et al.	
	US117	7,013,485 B1	03-14-2006	Brown et al.	
	US118	7,020,645 B2	03-28-2006	Bisbee et al.	
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	FP46					
	FP47					
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	FP53					
	FP54					

Examiner Signature	<i>Longbit Chai</i>	Date Considered	11/16/07
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ZC	US121	7,051,213 B1	05-23-2006	Kobayashi et al.	
	US122	7,058,978 B2	06-06-2006	Feuerstein et al.	
	US123	7,073,063 B2	07-04-2006	Peinado	
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	US125	7,076,067 B2	07-11-2006	Raike et al.	
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	US137	7,131,071 B2	10-31-2006	Gune et al.	
	US138	7,136,903 B1	11-14-2006	Phillips et al.	
	US139	7,145,898 B1	12-05-2006	Elliott, Isaac K.	
	US140	7,159,036 B1	01-02-2007	Hinchliffe et al.	

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ZC ↑	US141	7,171,557 B2	01-30-2007	Kallahalla et al.	
	US142	7,174,563 B1	02-06-2007	Brownlie et al.	
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ZC	US157	2001/0032181 A1	10-18-2001	Jakstadt et al.	
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Substitute for form 1449/PTO				Complete if Known	
FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Application Number	10/074,804
				Filing Date	February 12, 2002
				First Named Inventor	Denis Garcia
				Art Unit	7096
				Examiner Name	Longbit Chai
Sheet	9	of	12	Attorney Docket Number	2222.5390001

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
ZC ↑ ↓ ZC	US161	2002/0035624 A1	03-21-2002	Kim	
	US162	2002/0056042 A1	05-09-2002	Van Der Kaay et al.	
	US163	2002/0062245 A1	05-23-2002	Niu et al.	
	US164	2002/0069077 A1	06-06-2002	Brophy et al.	
	US165	2002/0077988 A1	06-20-2002	Sasaki et al.	
	US166	2002/0087479 A1	07-04-2002	Malcolm	
	US167	2002/0133699 A1	09-19-2002	Pueschel	
	US168	2002/0138762 A1	09-26-2002	Horne	
	US169	2002/0156726 A1	10-24-2002	Kleckner et al.	
	US170	2002/0169963 A1	11-14-2002	Seder et al.	
	US171	2002/0174109 A1	11-21-2002	Chandy et al.	
	US172	2002/0178271 A1	11-28-2002	Graham et al.	
	US173	2003/0009685 A1	01-09-2003	Choo et al.	
	US174	2003/0200202 A1	10-23-2003	Hsiao et al.	
	US175	2003/0217264 A1	11-20-2003	Martin et al.	
	US176	2003/0023559 A1	01-30-2003	Choi et al.	
	US177	2003/0037133 A1	02-20-2003	Owens	
	US178	2003/0037253 A1	02-20-2003	Blank et al.	
	US179	2003/0051039 A1	03-13-2003	Brown et al.	
	US180	2003/0074580 A1	04-17-2007	Knouse et al.	

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code ³ Number ² Kind Code ² (if known)			
	FP73				
	FP74				
	FP75				
	FP76				
	FP77				
	FP78				
	FP79				
	FP80				
	FP81				

Examiner Signature	<i>Longbit Chai</i>	Date Considered	11/16/07
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FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Application Number	10/074,804
				Filing Date	February 12, 2002
				First Named Inventor	Denis Garcia
				Art Unit	7096
				Examiner Name	Longbit Chai
Sheet	1	of	5	Attorney Docket Number	2222.5390001

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
LC	NPL1	"Affect," The American Heritage Dictionary of the English Language, Fourth Edition, Houghton Mifflin Company, 2002. Retrieved May 4, 2006 from http://dictionary.reference.com/search?q=affect	
↑	NPL2	Microsoft Windows 200 server. Windows 2000 Group Policy White Paper, 2000	
↑	NPL3	Symantec. Norton Antivirus Corporate Edition Implementation Guide, 1999.	
↑	NPL4	Crocker, Steven Toye, "Multi-level cryptographic transformations for securing digital assets," U.S. Patent Application No. 10/404,566, filed 03/31/2003.	
↑	NPL5	Crocker, Steven Toye, "Effectuating access policy changes to designated places for secured files," U.S. Patent Application No. 10/259,075, filed 09/27/2002.	
↑	NPL6	Kenrich, Michael Frederick, "Multi-Level File Digest", U.S. Patent Application No. 10/894,493, filed 07/19/2004	
↑	NPL7	Kinghorn, Gary Mark, "Method and system for protecting electronic data in enterprise environment," U.S. Patent Application No. 10/159,220, filed 05/31/2002	
↑	NPL8	Nath, Satyajit, "Method and system for securing digital assets using content type designations," U.S. Patent Application No. 10/405,587, filed 04/01/2003.	
↑	NPL9	Prakash, Nalini J., "Method and apparatus for securing/unsecuring files by file crawling," U.S. Patent Application No. 10/325,102, filed 12/20/2002	
LC	NPL10	Rossmann, Alain, "Hybrid systems for securing digital assets," U.S. Patent Application No. 10/325,013, filed 12/20/2002.	

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				Filing Date	February 12, 2002
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				Examiner Name	Longbit Chai
Sheet	2	of	5	Attorney Docket Number	2222.5390001

NON PATENT LITERATURE DOCUMENTS			
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LC	NPL11	A Real-Time Push-Pull Communications Model for Distributed Real-Time and Multimedia Systems, January 1999, School of Computer Sciences Carnegie Mellon University, Kanaka Juvva, Raj Rajkumar.	
↑	NPL12	U.S. patent application, Ser No. 10/889,685, entitled "Method and Apparatus for Controlling the Speed Ranges of a Machine " inventor Thomas, July 13, 2004, 18 pgs.	
	NPL13	U.S. patent application, Ser No. 10/028,397, entitled "Method and system for restricting use of a clipboard application, " inventor Zuili, December 21, 2001, 38 pgs.	
	NPL14	U.S. patent application, Ser No. 10/368,277, entitled "Method and apparatus for uniquely identifying files, " inventor Ouye, February 18, 2003, 25 pgs.	
	NPL15	U.S. patent application, Ser No. 10/327,320, entitled "Security system with staging capabilities " inventor Vainstein, December 20, 2002, 39 pgs.	
	NPL16	U.S. patent application, Ser No. 10/286,524, entitled "Security system that uses indirect password-based encryption, " inventor Gutnik, November 1, 2002, 38 pgs.	
	NPL17	U.S. patent application, Ser No. 10/242,185, entitled "Method and system for protecting encrypted files transmitted over a network " inventor Ryan, September 11, 2002, 33 pgs.	
	NPL18	U.S. patent application, Ser No. 10/642,041, entitled "Method and system for fault-tolerant transfer of files across a network " inventor Kenrich, August 15, 2003, 32 pgs.	
	NPL19	U.S. patent application, Ser No. 10/610,832, entitled "Method and system for enabling users of a group shared across multiple file security systems to access secured files " inventor Ryan, June 30, 2003, 33 pgs.	
LC	NPL20	U.S. patent application, Ser No. 10/448,806, entitled "Method and System for Using Remote Headers to Secure Electronic Files " inventor Ryan, May 30, 2003, 35 pgs.	

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Lc	NPL21	U.S. patent application, Ser No. 10/074,194, entitled "Methods for identifying compounds that inhibit or reduce PTP1B expressions" inventor Rondinone, February 12, 2002, 69 pgs.	
↑	NPL22	U.S. patent application, Ser No. 10/075,194, entitled "System and Method for Providing Multi-location Access Management to Secured Items," inventor Vainstein et al., February 12, 2002, 110 pgs.	
	NPL23	U.S. patent application, Ser No. 10/074,996, entitled "Method and Apparatus for Securing Electronic Data," inventor Lee et al., February 12, 2002, 111 pgs.	
	NPL24	U.S. patent application, Ser No. 10/074,825, entitled "Method and Apparatus for Accessing Secured Electronic Data Off-line," inventor Lee et al., February 12, 2002, 108 pgs.	
	NPL25	U.S. patent application, Ser No. 10/105,532, entitled "System and Method for Providing Different Levels of Key Security for Controlling Access to Secured Items," inventor Hildebrand et al., March 20, 2002, 86 pgs.	
	NPL26	U.S. patent application, Ser No. 10/186,203, entitled "Method and System for Implementing Changes to Security Policies in a Distributed Security System," inventor Huang, June 26, 2002, 65 pgs.	
	NPL27	U.S. patent application, Ser No. 10/201,756, entitled "Managing Secured Files in Designated Locations," inventor Alain, July 22, 2002, 121 pgs.	
	NPL28	U.S. patent application, Ser No. 10/206,737, entitled "Method and System for Updating Keys in a Distributed Security System," inventor Hildebrand, July 26, 2002, 60 pgs.	
	NPL29	U.S. patent application, Ser No. 10/246,079, entitled "Security System for Generating Keys from Access rules in a Decentralized Manner and Methods Therefor," inventor Hildebrand, September 17, 2002, 78 pgs.	
Lc	NPL30	U.S. patent application, Ser No. 10/259,075, entitled "Effectuating Access Policy Changes to Designated Places for Secured Files," inventor Crocker, September 27, 2002, 60 pgs.	

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ZC	NPL31	U.S. patent application, Ser No. 10/286,575, entitled "Method and Architecture for Providing Access to Secured Data from Non-Secured Clients," inventor Vainstein, November 1, 2002, 46 pgs.	
↑	NPL32	U.S. patent application, Ser No. 10/295,363, entitled "Security System Using Indirect Key Generation from Access Rules and Methods Therefor," inventor Vainstein, November 15, 2002, 70 pgs.	
	NPL33	U.S. patent application, Ser No. 11/889,310, entitled "Methods and Systems for Providing Access Control to Electronic Data," inventor Rossmann, August 10, 2007, 90 pgs.	
	NPL34	U.S. patent application, Ser No. 11/797,367, entitled "Method and System for Managing Security Tiers," inventor Vainstein, May 2, 2007, 11 pgs.	
	NPL35	Adobe Acrobat 5.0 Classroom in a Book, Adobe Press, 26 June 2001, pp.1-4.	
	NPL36	Adobe Acrobat Security Settings, Acrobat 7.0, 15 Nov 2004, pp.1-4.	
	NPL37	"Security Options". December 20, 2001. DC & Co. pages 1-2	
	NPL38	Microsoft Press Computer Dictionary, 1997, Microsoft Press, Third Edition, page 426.	
	NPL39	Search Report, completion date May 8, 2003, for European Patent Application No. EP 02 25 8530, 2 pages.	
ZC	NPL40	Search Report, completion date October 2, 2003, for European Patent Application No. EP 02 25 8531, 2 pages.	

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LC	NPL41	Search Report, completion date April 14, 2005, for European Patent Application No. EP 02 25 8533, 2 pages.	
↑	NPL42	Search Report, completion date March 16, 2005, for European Patent Application No. EP 02 25 8534, 2 pages.	
	NPL43	Search Report, completion date March 2, 2005, for European Patent Application No. EP 02 25 8535, 2 pages.	
	NPL44	Search Report, completion date March 3, 2005, for European Patent Application No. EP 02 25 8537, 2 pages.	
	NPL45	Search Report, completion date May 12, 2005, for European Patent Application No. EP 02 25 8539, 2 pages.	
	NPL46	Search Report, completion date July 6, 2005, for European Patent Application No. EP 02 25 8529, 4 pages.	
	NPL47	Search Report, completion date October 8, 2003, for European Patent Application No. EP 02 25 8536, 2 pages.	
LC	NPL48	Search Report, completion date May 8, 2003, for European Patent Application No. EP 02 25 8540, 2 pages.	
	NPL49		
	NPL50		

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